IFW

Docket No.: 50023-131



## **PATENT**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Customer Number: 20277

Kaoru IWAKUNI, et al. : Confirmation Number: 3653

Application No.: 09/761,106 : Group Art Unit: 2661

Filed: January 17, 2001 : Examiner: Brian D. Nguyen

For: TRANSMISSION METHOD OF DIGITAL BROADCASTING, DIGITAL

BROADCASTING RECEIVER, AND DIGITAL BROADCASTING STATION SYSTEM

## **INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

## CERTIFICATION PARAGRAPH

The undersigned certifies that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a

09/761,106

counterpart foreign application not more than three months prior to the filing of this Information

Disclosure Statement as described in 37 CFR 1.97(e)(1).

Each English language reference was cited in a corresponding foreign application search report or office action and its relevance discussed therein. A copy of the foreign search report or office action is attached for the Examiner's information.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account and please credit any excess fees to such deposit account.

Respectfully submitted,

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Please recognize our Customer No. 20277 as our correspondence address.

SHEET 1 OF 1

| SHEET OF I   |  |              |   |                  |  |                                  |   |                              |              |  |  |
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| INFORMATION DISCLOSURE   |  |              |   |                  | ATTY. DOCKET NO.                       |                                  |   | RIAL NO.                     |              |  |  |
| CITATION IN AN   |  |              |   |                  | 50023-131                              | 0                                | 9/761,1   | 06                           |              |  |  |
| APPLICATION  |  |              |   |                  |  |                                  |   |                              |              |  |  |
|  |  |              |   |                  | APPLICANT                              | APPLICANT                        |   |                              |              |  |  |
|  |  |              |   |                  | Kaoru IWAKUNI, et al.                  |                                  |   |                              |              |  |  |
| (PTO-1449)   |  |              |   |                  |  |                                  | ROUP  |                              |              |  |  |
|  |  |              | <u> </u>                                      |                  | January 17, 200                        | January 17, 2001 26              |   |                              | 61           |  |  |
| U.S. PATENT DOCUMENTS  |  |              |   |                  |  |                                  |   |                              |              |  |  |
| EXAMINER'S CITE INITIALS NO.   |  | l            | Document Number                               | Publication Date | Name of Patentee or Applicant of Cited |                                  |   | Pages, Columns, Lines, Where |              |  |  |
| INITIALS   | NO.  |              | mber-Kind Code2 (if known)                    | MIN-DD-1111      | Document                               |                                  | Relevant Passages or Relevant<br>Figures Appear |                              |              |  |  |
|  |  | US 5,848,352 |   | 12-8-1998        | Dougherty et al.                       |                                  |   |                              |              |  |  |
|  |  | US           |   |                  |  |                                  |   |                              |              |  |  |
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|  |  | US           |   |                  |  |                                  |   |                              |              |  |  |
| FOREIGN PATENT DOCUMENTS   |  |              |   |                  |  |                                  |   |                              |              |  |  |
| EXAMINER'S   | <u> </u>   | Fo           | reign Patent Document                         | Publication Date | Name of Patentee or                    |                                  |   |                              | ation        |  |  |
| INITIALS   | CITE Country Codes -Number 4- NO. Codes (if known) |              | intry Codes_Number 4_Kind<br>Codes (if known) | MM-DD-YYYY       | Applicant of Cited Document            | Where Relevant<br>Figures Appear |   | Yes No                       |              |  |  |
|  |  |              | WO 97/09801                                   | 3-13-1997        | STARGUIDE DIGITAL<br>NETWORK, INC      |                                  |   | English<br>Abstract          |              |  |  |
|  |  | WO 97/17776  |   | 5-15-1997        | OY NOKIA AB                            |                                  |   |                              |              |  |  |
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|  |  | Ĺ.           |   |                  |  |                                  |   |                              |              |  |  |
| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)   |  |              |   |                  |  |                                  |   |                              |              |  |  |
| EXAMINER'S INITIALS I |  |              |   |                  |  |                                  |   |                              |              |  |  |
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.